

**ARMY EQUIPPING ROLES, RESPONSIBILITIES, PROCEDURES and  
AUTHORITIES (RRPA)**

**(Effective Date: 10 September 2013)**

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**UNCLASSIFIED**

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# Army Equipping Roles, Responsibilities, Procedures, and Authorities

## 1. References:

- a. Memorandum, Army Directive 2011-06, 22 Mar 2011, Designation of Army Materiel Command (AMC) as Lead Materiel Integrator (LMI).
- b. Memorandum, Secretary of the Army, 21 Oct 2011, Transition and Implementation (T&I) Plan for LMI.
- c. PLANORD, Headquarters, Department of the Army (HQDA), 28 Nov 2011, T&I Plan for the Army's LMI Management Approach.
- d. Message, HQDA ALARACT 035/2012, 162136Z Feb 2012, Army EXORD 095-12 For the Army's Lead Materiel Integrator (LMI) Management Approach.
- e. Pamphlet, Department of the Army (DA) Pamphlet 700-142, 25 June 2010, Instructions for Materiel Release, Fielding, and Transfer.
- f. Pamphlet, HQDA, Deputy Chief of Staff, G-8, (TBP), Policy and Procedures for Allocation Planning.
- g. Memorandum of Agreement, Army Materiel Command G-4 and HQDA, Deputy Chief of Staff, G-8, Force Development (HQDA, DCS, G-8, FD), 16 Nov 12, Establishing Coordination Business Rules in Support of the Phase 2 Lead Materiel Integrator Transition between.
- h. Army Regulation (AR) 710-1, 20 September 2001, Centralized Inventory Management of the Army Supply System.
- i. Instruction, Department of Defense Instruction 1225.06, 16 May 2012, Equipping the Reserve Forces, Defense Planning Guidance.

## 2. Purpose:

This document details overarching roles, responsibilities, procedures, and authorities (RRPA) for stakeholders within the Army equipping process. It focuses on materiel allocation, distribution and redistribution. The HQDA, DCS, G-8 Policy and Procedures for Allocation Planning document provides the mechanics and specific stakeholder tasks for the allocation of HQDA managed equipment.

## 3. Intent:

To update the RRPA based on the maturing of the Army's LMI approach (refer to Annex A) establishing AMC with the responsibility and authority for managing equipment distribution and redistribution in accordance with (IAW) Department of Defense (DoD) and Army directives, priorities, and Army Force Generation (ARFORGEN) cycles. Equipping stakeholders will develop supporting business rules and tactics, techniques, and procedures (TTPs) in coordination with (ICW) and subordinate to the overarching RRPA. This document takes into consideration the complexity of equipment distribution caused by differing needs between the various commands and components (COMPOs),

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classes of supply, operations tempo, distribution and redistribution tasks, and the paradigm shift in the Force Generation process.

### 4. Concept:

a. Per Secretary of the Army and Chief of Staff of the Army directive, AMC is the LMI, with Army Sustainment Command (ASC) as AMC's executing agent. As the LMI, AMC has the responsibility and authority for managing the equipping distribution and redistribution missions. However, HQDA, DCS, G-8 maintains Army staff responsibility to plan and program equipping and modernizing the force and retains responsibility for component (COMPO) level allocations. Additionally, the equipping roles of Army Commands (ACOMs), Army Service Component Commands (ASCCs), Direct Reporting Units (DRUs) and the COMPOs are unchanged. Also, some pre-existing authorities do not change, for example, the Army Secretariat, Headquarters Department of the Army Staff (ARSTAF), and senior commanders retain Title 10 authorities.

b. The Army's allocation and distribution process now reflects AMC's overall responsibility and authority for developing, coordinating and synchronizing distribution and redistribution for all Army equipment, in accordance with Department of Defense and Army directives and priorities. As the Army manager of equipment distribution and redistribution, AMC/ASC will help facilitate commands' and COMPOs' unit equipping efforts. Additionally, the adoption of the LMI Decision Support Tool (DST), the Army equipment distribution/redistribution system of record, is greatly assisting in these actions. Refer to Annex B for an overview of the Army's allocation and distribution process.

c. The LMI concept will continue to mature as lessons are learned. The roles, responsibilities, procedures, and authorities may evolve as the equipping community develops new and better ways of doing business. As a result, HQDA, DCS, G-8 will lead periodic policy reviews of this document until policy can be updated in Army Regulations.

d. Materiel distribution and redistribution is based on authorization levels as delineated in Modified Tables of Organization and Equipment (MTOE), Tables of Distribution and Allowances (TDA), Augmentation TDAs (AUG TDA), Letters of Authorization (LOAs), Operational Needs Statements (ONS), Joint Urgent Operational Needs Statement (JUONS), Joint Emerging Operational Need (JEON), and Mission Essential Equipment Lists (MEEL) and IAW Army priorities, unless otherwise directed by HQDA. Equipping levels may be further modified by percentage-based fill rates such as Aim Points.

### 5. Roles, Responsibilities, Procedures, and Authorities by Organization:

a. Office of the Assistant Secretary of the Army for Acquisition, Logistics, and Technology (ASA (ALT)). The ASA (ALT) retains all Title 10 authority and the principal responsibility for all DA matters and policy related to acquisition, logistics and

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technology. The ASA (ALT) will develop policy and oversee Program Executive Offices and Program Managers (PEOs/PMs) who:

(1) During each quarterly update cycle, provide HQDA, DCS, G-8 new production and recapitalized equipment available quantities for allocation for the period 1-21 months. Currently, the PMs provide available quantities to the SSOs who input the data into the Army Equipping Enterprise System (AE2S). In the future this will transition to the PMs providing direct input into AE2S using the PM Available Quarterly Module in EquipFor (EQ4). The module will allow PMs to input new production and depot Recap quantities forecasted to be available for allocation and distribution directly into EQ4.

(2) Coordinate with AMC/ASC (LMI) for distribution planning and New Equipment Fielding (NEF) to execute the Army's Equipping and Modernization Strategies and other policies and directives.

(3) Execute New Equipment Training (NET) and NEF IAW DA Pamphlet 700-142, Instructions for Materiel Release, Fielding, and Transfer to execute the Army's Equipping and Modernization Strategies and other policies and directives.

(4) Enter new equipment within authoritative systems of record (i.e., Property Book Unit Supply – Enhanced (PBUSE)) and once fielded, transfer equipment to gaining unit in PBUSE.

(5) In coordination with AMC/ASC (LMI), support Unit Equipping and Reuse Working Groups (UERWG), as required.

(6) In coordination with AMC/ASC (LMI), participate in the proposed sourcing decision (PSD) vetting process.

(7) In coordination with AMC/ASC (LMI), integrate use of DST into the fielding process (includes planning, vetting, execution and tracking of new equipment distribution.)

(8) Execute specified responsibilities IAW the HQDA, DCS, G-8 Policy and Procedures for Allocation Planning document. (AMC is developing a Tactics, Techniques, and Procedures/Policy document that will address distribution planning.)

(9) Report production delays and/or stoppages affecting delivery of Line Item Numbers (LINs) in meeting required delivery dates or unit aim points to AMC/ASC (LMI) and HQDA, DCS, G-8.

(10) Participate in HQDA, DCS, G-3/5/7-led equipment issue adjudication processes, as required.

b. Army Commands, Army Service Component Commands, Direct Reporting Units, Army National Guard, and United States Army Reserve will:

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- (1) Exercise authority for materiel distribution and redistribution within the command based on authorizations.
- (2) Serve as the entry point for their subordinate units regarding LMI distribution and redistribution mission and recommendations.
- (3) In coordination with AMC/ASC (LMI), integrate use of the DST within commands/COMPOs to facilitate the equipment distribution and redistribution process.
- (4) Ensure equipment and components listed in the authorized column (of the MTOE and TDA) are on hand or on order (requisition).
- (5) Be responsible for Equipment on Hand (EOH) and mission readiness of the command.
- (6) Ensure on-hand equipment, to include items received from PMs, is properly and accurately accounted for within authoritative systems of record (i.e., PBUSE) and aligned to MTOE or TDA requirements on the property books.
- (7) Develop and execute sourcing solutions within the command as required to maximize readiness or meet mission requirements.
- (8) Report excess IAW existing supply and materiel policies and processes.
- (9) Provide HQDA, DCS, G-3/5/7 by-exception materiel demands (e.g., an ONS request via Equipment Common Operating Picture (ECOP)). IAW HQDA, DCS, G-3 ONS/ECOP procedures, the submitting units' higher level commands are responsible for validating submitted ONS. Validation confirms an urgent need exists and that the need cannot be address by standard Army processes or through some other means such as cross leveling between units. Coordinate validated HQDA, DCS, G-3 CIC (Future Warfighting Capabilities) capabilities with the appropriate materiel provider, i.e., AMC/ASC (LMI). Request final sourcing solution approval at the Army Resourcing and Requirements Board (AR2B) Council of Colonels (CoC), and verify delivery of a materiel solution to complete the ONS requirement in ECOP.
- (10) Support Total Package Fielding (TPF), to include actions required to manage displaced materiel.
- (11) In coordination with AMC/ASC (LMI), schedule and conduct UERWGs as required.
- (12) In coordination with AMC/ASC (LMI), participate in the proposed sourcing decision (PSD) vetting process.

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(13) Review/approve internally sourced unit distribution plans (UDP). An internally sourced UDP is one sourced completely within the command through redistribution of its assets within authorized levels.

(14) Line Item Number distribution and redistribution issues, to include but not limited to inter-command materiel transfers between ACOMs, ASCCs, and DRUs, will be resolved at the lowest level possible. If resolution cannot be achieved at the lower levels, request DA level forums such as the AR2B, AERWG Integrated Process Team or special forums to adjudicate the issue.

(15) Serve as the readiness authority for subordinate units.

(16) Track directives to receipt of equipment in PBUSE and verify in the Logistics Information Warehouse (LIW).

### c. United States Army Materiel Command will:

(1) As the Army's LMI, manage and synchronize materiel distribution and redistribution planning and execution for all Army equipment to and across ACOMs, ASCCs, DRUs, and Components IAW Army priorities, DoD and Army policy, Army authorization and prioritization documents, appropriate statutes, and authoritative inputs (e.g., HQDA, DCS, G-8 allocations and directed authorizations).

(2) Advise Senior Army Leadership about Army-wide materiel issues and materiel readiness. Respond to requests for information (RFIs) about equipment distribution and/or redistribution from senior leaders, Congress, and others, as required/tasked.

(3) Act as the proponent for the Lead Materiel Integrator Decision Support Tool (LMI DST). Link equipment demands with supply in DST to forecast sourcing against future requirements with the intent of providing consistency, transparency, and optimized solutions. Collaborate with HQDA, ASA(ALT), ACOMs, ASCC, DRUs, and COMPOs on DST capability refinement, training and sustainment.

(4) Combine stakeholder processes and inputs to produce an integrated materiel sourcing recommendation to meet all Army materiel requirements. Develop UDP recommendations and PSDs to optimize Army EOH readiness. Facilitate the PSD vetting process with stakeholders.

(5) Provide collaborated (i.e., with HQDA, DCS, G-8 System Synchronization Officers (SSO), PM, and commands/COMPOs) equipment distribution plans (proposed sourcing decisions) a minimum of nine months out to ACOMs/ASCCs/DRUs and components on a quarterly basis. Additional equipment distribution plans may be necessary for ARFORGEN rotational units, IAW their ARFORGEN cycle. The goal is to

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synchronize distribution with MTOE development as part of the Command Plan process.

(6) In coordination with materiel stakeholders, verify requirements with EOH and anticipated new and depot production materiel to identify excess and verify equipment shortages.

(7) In coordination with HQDA, DCS, G-4 and G-8, ensure that AE2S and DST are complimentary and mutually supporting. Establish and periodically review memorandum of agreements and system interface agreements to facilitate data sharing between LIW and other authoritative automated systems. The Standard Study Number-Line Item Number Automated Management & Integrating System General Officer Steering Committee, LMI Transition Board, or designated fora will be used to inform senior leaders, make recommendations and resolve issues. This does not change normal organizational command and control of systems. Any recommendations that impact the LIW or other Army logistics portfolio systems will be presented to the G-4 LOG Domain for review and validation.

(8) Plan, coordinate and synchronize materiel to support integrated system fielding events based on PM, HQDA, DCS, G-8, Life Cycle Management Command (LCMC) and depot functions.

(9) In coordination with HQDA, DCS, G-8 SSOs and HQDA, DCS, G-3, obtain LOAs to support any distribution of equipment where BOIPs have not been applied.

(10) Support ACOM and component requested UERWGs and coordinate support from LCMCs (e.g., TACOM, Communications Electronics Command (CECOM) and Aviation and Missile (AMCOM)).

(11) As requested, provide equipping analysis to ACOM internal plans or projects, e.g., annual excess runs, analysis of inactivations, unit relocations and second destination transportation (SDT) estimates. Also, ICW with the ACOMs, ensure that distributions to inactivating units are cancelled.

(12) Execute specified responsibilities IAW the HQDA, DCS, G-8 Policy and Procedures for Allocation Planning document.

(13) Provide data to AE2S IAW established data sharing agreements with HQDA, DCS, G-8. Required data includes:

(a) Available quantities of SS PEG funded depot output (includes contractor reset assets) available for HQDA, DCS G-8 allocation during each quarterly update cycle as AE2S input. In coordination with HQDA, DCS, G-8, develop and implement an automated process to provide these available quantities to the AE2S, as outlined in the HQDA, DCS, G-8 Policy and Procedures for Allocation Planning.

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(b) Quarterly updates of all distribution and re-distribution plans for all LINs.

(c) Quarterly updates of verification of receipt by reserve components of all Army new and RECAP LINs for mandated transparency reporting IAW Department of Defense Instruction 1225.06.

(14) Provide/enter equipment sourcing solution recommendations for ONS/Equipping Sourcing Document (ESD) in the ECOP database and present sourcing solutions to the AR2B CoC for approval. Upon approval of equipment sourcing solution, execute equipment distribution. Verify receipt of materiel distribution and redistribution solutions for ONS and non-standard equipment with HQDA, DCS, G-8 IAW procedures established by HQDA, DCS, G-3/5/7.

(15) Report all excess to the equipment manager, i.e., report excess HQDA, DCS, G-8 managed LINs to HQDA, DCS, G-8. Additionally, inform procurement programs and HQDA, DCS, G-8 of critical materiel shortages (IAW Army demands and priorities).

(16) Report Reset depot production delays and/or stoppages that affect LIN required delivery dates and Aim Points to required stakeholders.

(17) In coordination with HQDA, DCS, G-3, G-4, G-8, and/or ACOMs, ASCCs, DRUs and COMPOs, support LIN analysis and unit equipping forums and working groups such as: Strategic Readiness Update (SRU), Troubled LIN Forum, Divesture Working Group, and Equipment Validation and Requirements Board (EVRB).

(18) In coordination with HQDA, DCS, G-8 co-lead the Army Equipping Reuse Working Group (AERWG) activities in order to address commands' distribution and redistribution requirements and issues.

(19) In accordance with DA policy and ARSTAF guidance manage the distribution and redistribution of War Reserve and Army Prepositioned Stocks (APS).

(20) Request HQDA, DCS, G-8 approval for any redistribution actions triggering DODI 1225.06 replacement plans. Coordinate execution of DODI 1225.06 replacement plans IAW HQDA, DCS, G-8 directions.

(21) Request HQDA, DCS, G-8 approval for any distributions that would require directing allocations from one component to another before finalizing plans.

(22) In coordination with HQDA, DCS, G-8 ensure guidance provided by the Army Equipping Modernization Plan, Army Equipping Modernization Strategy, and Army Equipping Guidance are nested in equipment distribution actions.

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(23) Request DA level fora such as the AR2B, AERWG Integrated Process Team or special fora to adjudicate LIN distribution and redistribution issues to include, but not limited to, inter-command materiel transfers between ACOMs, ASCCs, and DRUs, that cannot be resolved at lower levels.

d. Training and Doctrine Command (TRADOC), in addition to responsibilities in para 5.b., will:

(1) Develop future concept-based required capabilities and Doctrine, Organization, Training, Maintenance, Leadership and education, Personnel, and Facilities solutions IAW the Joint Capabilities Integration and Development System.

(2) Provide HQDA, DCS, G-3/5/7 materiel demands for approved Structure Manning Decision Review (SMDR), Training Resource Arbitration Panel (TRAP) and Equipment Review and Validation Board (ERVV) decisions.

(3) Advise AMC/ASC on TRADOC specific materiel distribution/redistribution synchronization issues.

(4) Update Programs of Instruction (POI) in TRADOC schools to reflect the LMI process on equipping the Army, to include DST training.

e. Army National Guard (ARNG). The Director, ARNG has appointed the ARNG G-4 to serve as the LMI for the ARNG and will continuously collaborate with AMC/ASC and HQDA, DCS, G-8. The ARNG, in addition to responsibilities in para 5.b., will:

(1) Advise AMC/ASC on ARNG-specific materiel distribution/redistribution issues.

(2) Remain the approval authority for ARNG units' excess equipment IAW AR 710-1 Chapter 1-17.

(3) Conduct LIN distribution planning in AE2S. Once required capabilities are resident in DST and System Integrators are fully trained on DST, conduct LIN distribution planning in DST.

(4) Execute specified responsibilities IAW the HQDA, DCS, G-8 Policy and Procedures for Allocation Planning document.

f. United States Army Reserve (USAR). The Commander, Army Reserve (CAR) has appointed the USAR G-4 to serve as the LMI for the USAR and will continuously collaborate with AMC/ASC and HQDA G-8. The USAR, in addition to responsibilities in para 5.b., will:

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(1) Advise AMC/ASC on USAR-specific materiel distribution/redistribution issues.

(2) Conduct LIN distribution planning in AE2S. Once required capabilities are resident in DST and System Integrators are fully trained on DST, conduct LIN distribution planning in DST.

(3) Execute specified responsibilities IAW the HQDA, DCS, G-8 Policy and Procedures for Allocation Planning document.

g. Office of the Surgeon General (OTSG)/US Army Medical Command (MEDCOM), in addition to responsibilities in para 5.b., will:

(1) Provide national-level medical maintenance and supply programs which are managed and executed to support ASA (ALT).

(2) Ensure medical sustainment and maintenance support for fielded medical systems and equipment throughout the system's entire life cycle.

(3) Advise AMC/ASC on medical specific materiel distribution/redistribution issues.

h. United States Army Special Operations Command (USASOC), in addition to responsibilities in para 5.b., will:

(1) Serve as the authority for all Special Operations-peculiar (Major Force Program 11 (MFP-11)) materiel distribution and redistribution IAW United States Special Operations Command (USSOCOM) policies and directives. HQDA, DCS, G-4 and G-8, AMC, and OTSG will continue to manage all Army Common (MFP-2) LINs in the command.

(2) Advise AMC/ASC on Army-common materiel distribution/redistribution issues specific to USASOC.

(3) Continually collaborate with AMC/ASC through its LMI Lead (USASOC G-4).

i. HQDA, DCS, G-3/5/7 will:

(1) Review and approve materiel demands (requirements), e.g., application of BOIPs, ONS, etc.).

(2) Provide AMC/ASC access to all authoritative automated requirement systems.

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(3) Prioritize units' materiel requirements through the Integrated Requirement Priority List (IRPL) and Dynamic Army Resource Priority List (DARPL).

(4) As required, adjudicate distribution and redistribution issues. LIN distribution and redistribution issues will be resolved at the lowest level possible. If resolution cannot be achieved at the lower levels, DA level forums such as the AR2B, AERWG Integrated Process Team or special forums will adjudicate the issue.

(5) Adjudicate, as AR2B chair, final ONS sourcing solution(s) for approval / disapproval and verify delivery of approved materiel solution(s) to complete the ONS requirement in ECOP.

(6) Serve as approval authority for Army Preposition Stocks strategy, structure and equipment releases IAW IRPL and DARPL priorities.

(7) Provide ASC with a real-time, unclassified data feed of all validated known Army materiel requirements (MTOE, TDA, ONS, JUON, JEON, MEEL, ETDA, AUG TDA, operational projects) for the upcoming 36 months; provide periodic updates on the status of completing this task to the Army's equipping community at the Army Equipping and Reuse Working Group. Ensure all materiel requirements modified by percentage-based fill rates (e.g., Aim Points) and all activities (units, APS plans, programs, operational projects) are prioritized in order of need from highest to lowest.

(8) As required and in coordination with HQDA, DCS, G-8 ensure LOAs are provided to AMC/ASC Materiel Integrators (MI) to support any required allocations and/or distributions for equipment where BOIPs have not been applied.

j. HQDA, DCS, G-4 will:

(1) Program national sustainment operations for equipment transferred from the PM to AMC for sustainment.

(2) Program for development and procurement of Logistics Management Information Systems.

(3) Align sustainment program and budgeting requests to the HQDA, DCS, G-3/5/7 equipment distribution priorities.

(4) Manage the SDT Management Decision Package (MDEP) and coordinate the synchronization of SDT funding and distribution plans.

(5) Participate in HQDA, DCS, G-3/5/7-led adjudication process for materiel management disputes and inter-theater equipment issues, as required. Line Item Number distribution and redistribution issues will be resolved at the lowest level possible. If resolution cannot be achieved at the lower levels, DA level forums such as

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the AR2B, AERWG Integrated Process Team or special forums will adjudicate the issue.

(6) Ensure allocation planning for Army HQDA, DCS, G-4 and other EE PEG procured AMC is conducted quarterly in AE2S IAW the HQDA, DCS, G-8 Allocation Planning Policy.

(7) Review inter-theater UDPs for SDT charges.

(8) Review for approval APS loan requests.

(9) In coordination with HQDA, DCS, G-8 and AMC ensure equipment allocation (e.g., AE2S) and distribution automation systems (e.g., DST) supporting HQDA and LMI processes are complimentary and mutually supporting. The Standard Study Number-Line Item Number Automated Management & Integrating System General Officer Steering Committee, LMI Transition Board, or designated fora will be used to inform senior leaders, make recommendations and resolve issues. This does not change normal organizational command and control of systems. Any recommendations that impact the LIW or other Army logistics portfolio systems will be presented to the HQDA, DCS, G-4 LOG Domain for review and validation.

k. HQDA, DCS, G-8 will:

(1) Program for development and procurement of new equipment ICW ASA(ALT).

(2) Maintain and update, as required, the Army G-8 Policy and Procedures for Allocation Planning document. This document will provide stakeholder responsibilities and procedures for the allocations and distributions of HQDA managed equipment data within the approved Army automation systems, i.e., AE2S EQ4 application and Decision Support Tool.

(3) Serve as ARSTAF lead for the DODI 1225.06 process within the Army.

(4) Headquarters, Department of the Army, DCS, G-8 SSOs maintain oversight of LIN equipping ICW ASC. This oversight includes:

(a) Retaining responsibility for planning and programming; equipping; and modernizing the force with LINs holistically managed by HQDA, DCS, G-8; and retains responsibility for component level allocations and reallocations of new equipment, depot/recapitalized equipment, and RESET equipment.

(b) Performing a vetting role with ASC to maintain the integrity of PPBE and DODI 1225.06, and to ensure the Army Equipping Modernization Plan, Army Equipping

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Modernization Strategy, Army Equipping Guidance, Army Program Guidance Memorandum (APGM) and Army Planning Priorities Guidance (APPG) are nested.

(c) Retaining approval authority to include or exempt LINs from equipping plan requirements based on criteria outlined in annex A (Organizational/Stakeholders Rules and Responsibilities) to Army G-8 Policy and Procedures for Allocation and Distributions.

(d) Retaining responsibility for ensuring collaborative alternative SSO authorized requirements (SACS file, ONS, MEEL, and FFR) are updated in EQ4 and passed to ASC MIs.

(e) Ensuring ASC MIs are aware of changes to guidance, policies, strategies, and equipping priorities effecting HQDA, DCS, G-8 managed LINs.

(f) Serving in the capacity of an assist to the ASC MI during LIN analysis fora and working groups such as: Troubled LIN Forum, Divesture Working Groups, EVRBs, ONS, and AERWGs.

(g) Conducting and providing quarterly allocation plans IAW data sharing agreement between LIW and AE2S. This includes new, recapitalized, and national level reset depot output provided by PMs and AMC. Allocation will be projected 21 months IAW availability, Army POM, and HQDA, DCS, G-3/5/7 priorities.

(h) Providing BOIP application recommendations to HQDA, DCS, G-3/5/7 through the HQDA, DCS, G-8 modernization recommendations (as part of the Command Plan process.)

(5) Where applicable and with DA G-3 assistance ensure LOAs are provided to AMC/ASC MIs to support any required allocations/distribution of equipment.

(6) Monitor LMI provided equipping sourcing solutions of HQDA, DCS, G-8 LINs for ONS/ESD.

(7) Assist HQDA, DCS, G-3/5/7 in arbitrating materiel management disputes and inter-theater equipment issues. Line Item Number distribution and redistribution issues will be resolved at the lowest level possible. If resolution cannot be achieved at the lower levels, DA level forums such as the AR2B, AERWG Integrated Process Team or special forums will adjudicate the issue.

(8) In coordination with DA G-4 and AMC, ensure equipment allocation (i.e., AE2S) and distribution automation systems (i.e., DST) supporting HQDA and LMI processes are complimentary and mutually supporting. The Standard Study Number-Line Item Number Automated Management & Integrating System General Officer

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Steering Committee, LMI Transition Board, or designated fora will be used to inform senior leaders, make recommendations and resolve issues. This does not change normal organizational command and control of systems. Any recommendations that impact the LIW or other Army logistics portfolio systems will be presented to the HQDA, DCS, G-4 LOG Domain for review and validation.

(9) In coordination with AMC, advise senior Army leadership on equipment readiness through the Strategic Readiness Update.

(10) As necessary, provide the Director, Force Development with quarterly out-briefs on the status of equipment allocation and plans.

## Annex A – Lead Materiel Integrator Distribution Process to Army Equipping Roles, Responsibilities, Procedures, and Authorities

The LMI uses HQDA determined priorities codified in the Dynamic Army Resource Priority List (DARPL) to insure units are equipped IAW HQDA guidance. The DST captures all sources of supply for major end items, the DARPL, and aim points.

The first line of Operation for LMI is ARFORGEN unit materiel distribution and redistribution execution; equipping and re-equipping units as they cycle through the ARFORGEN force pools.

AMC/ASC develop Unit Distribution Plans to equip units' IAW HQDA prioritization. The UDPs are then vetted with materiel stakeholders prior to execution. AMC/ASC (LMI) will coordinate with ACOM/ASCCs and reserve components to establish priorities for units not addressed on Army DARPL. Upon approval of the UDP, materiel stakeholders, e.g., depots and PMs, ship equipment to the gaining unit. Units must add equipment upon arrival to their property book.

The DST is the collaborative tool to synchronize the distribution and redistribution of materiel in accordance with Army priorities and directives.

Each ACOM/ASCC/DRU, and the reserve components (RC) is responsible for managing its own equipment internally to the derivative Unit Identification Code (UIC) level. Each command may establish internal sourcing and vetting procedures for using the DST internally. For example, after a unit has exhausted its capability to "fix" or internally source itself, the next higher command reviews its internal assets to fill the requirements. This internal sourcing process continues until the ACOM/ASCC/DRU/RC has exhausted all available internal sourcing solutions. The LMI then completes sourcing from Army assets.

The LMI can recommend stock funded redistribution COAs between ACOM/ASCC/DRU/ARNG/USAR units; however, as a general rule, the ACOM/ASCC/DRU/ARNG/USAR should reconcile stock funded shortages at their respective command level prior to engaging the LMI for assistance with those LIN shortages.

The LMI ensures redistribution COAs will not adversely impact the readiness of MTOE or TDA documented LINS, to include other MTOE or TDA documented LINS that are associated support items of equipment (ASIOE) per the major item LIN Basis of Issue Plan (BOIP).

Line Item Number distribution and redistribution issues will be resolved at the lowest level possible. If resolution cannot be achieved at the lower levels, AMC and/or commands/COMPOs will use DA level forums such as the AR2B, AERWG Integrated Process Team or special forums to adjudicate the issue.

## Annex B – Line Item Number (LIN) Allocation and Distribution Overall Process to Army Equipping Roles, Responsibilities, Procedures, and Authorities

Refer to Diagram A, below.

Allocation/distribution quarterly updates cover a 21 month period of available quantities/allocations and 10 months of distributions. The first six months of distributions of each 21 month period are considered “locked” because they are inside of an already established Unit/ACOM materiel 180-days-out fielding schedule/agreement. The following are the general steps that outline the allocation/distribution process.

1. Identify Monthly Available Quantities (quarterly updates). Quarterly updates occur during the last month of each of quarter of the fiscal year: December; March; June; and, September. "Monthly Available" quantities in EQUIPFOR consist of:
  - a. New procurement funded by the Equipment Program Evaluation Group (EE PEG) (data provided by PMs to HQDA, DCS, G-8);
  - b. Recapitalization funded by the EE PEG (data provided by PMs to HQDA, DCS, G-8 ); and,
  - c. Depot output funded by Sustaining Program Evaluation Group (SS PEG) (data provided by AMC to HQDA, DCS, G-8)

Monthly available quantity data provided for and entered into EQ4 will not be constrained based on PM fielding team capacity, readiness of units to receive the equipment, or other limiting factors. Monthly available quantities will equal all that is ready for distribution regardless of these limiting factors.

2. Determine Monthly Allocations (quarterly updates).

The HQDA, DCS, G-8 SSOs use the available quantities provided by ASA(ALT)/PEOs and AMC/LCMCs to determine monthly LIN allocations by COMPO, regardless of PM fielding team capacity or unit ability to receive. The SSOs determine and input COMPO LIN allocations into AE2S which feeds the allocation information to the DST.

3. Determine distributions plans. The AMC/ASC, as LMI, coordinates and synchronizes LIN distribution plans with all required stakeholders. The AMC/ASC will base distribution plans on such things as G-8 allocations, PMs limiting factors and units ability to receive the equipment. Army Materiel Command /ASC, ICW commands, manages the distribution plans for COMPO 1 and 6. The ARNG and USARC manage distribution plans for COMPO 2 and 3 and will provide this data to AMC/ASC via an automated process. Allocations which are unable to be distributed due to limiting factors in one month, can be distributed in the following months.

4. Plan and execute fieldings/distributions. AMC/ASC will provide stakeholder vetted AA-level unit distribution plans to ASA(ALT)/PEOs and/or LCMCs in order for PMs and Item Managers (IMs) to plan and execute unit fieldings/distributions.

5. Receive equipment. The last step in the allocation and distribution process is the unit receiving the equipment, ICW the PM or LCMC IM, and adding the equipment to its

Annex B - Line Item Number (LIN) Allocation and Distribution Overall Process to Army Equipping Roles, Responsibilities, Procedures, and Authorities

accountability system of record. The AMC/ASC will then have the capability to verify unit receipt, as required.

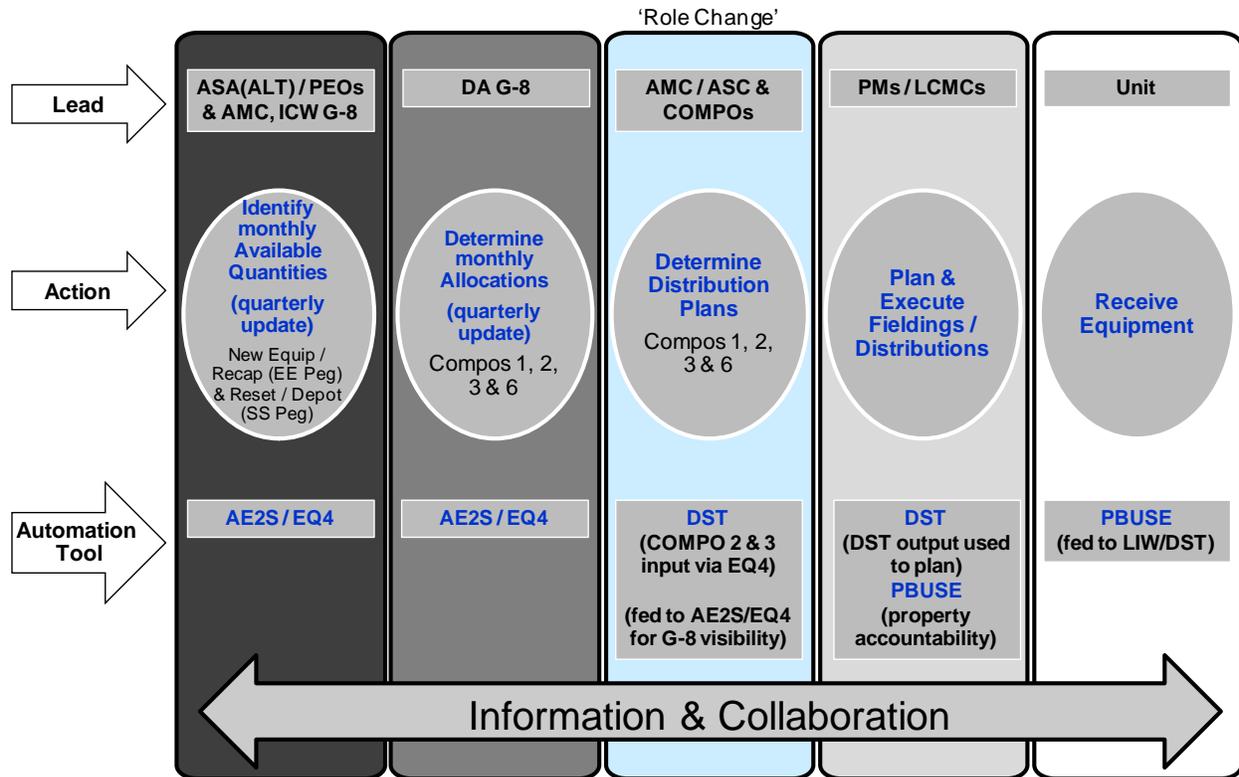


Diagram A – LIN Allocation and Distribution

## Annex C – Terms of Reference to Army Equipping Roles, Responsibilities, Procedures, and Authorities

### **Allocations**

Line Item Number allocations are initially programmed by Fiscal Year during the Program Objective Memorandum (POM) build to COMPO level and then budgeted in the President's Budget and Post Appropriations for a specific Fiscal Year of appropriation. Subsequently, LIN allocations are made by SSOs in EQ4 each month based on the PM's identification of monthly quantities available from new production and recapitalization. Allocations are designated by COMPO (Active, ARNG and USAR). Allocations are adjusted during the Transparency Post-Appropriations process and documented in the Transparency system.

### **Army Equipping Enterprise System (AE2S)**

The authoritative system for all HQDA, DCS, G-8 managed LIN allocations to COMPOs. This system provides the authoritative view of the Army over time and when combined with authoritative data from LIW will contain the strategic picture of all allocations across the Army and the Future Year Defense Planning period.

### **Army Equipping Reuse Working Group (AERWG)**

An HQDA/AMC venue that allows commands/COMPOs to address equipment distribution issues for the next 12 month distribution cycle. It provides a venue for participants to address equipping issues affecting Army equipping policy and posture.

### **Army Resource Priority List (ARPL)**

An Unclassified/For Official Use Only document generated by the HQDA, DCS, G-3/5/7 Force Generation Division and provides broad categorization of resources against at least three categories.

### **Available Quantity**

Line Item Number quantities determined to be available (issuable) for distribution and redistribution beginning in a specified month. It includes new procurement, Reset, and recapitalized equipment. Available quantities for the near-term planning period are quantities that are on contract or accepted by the Army via DoD Form 250, Material Inspection and Receiving Report.

### **Cascaded Equipment**

Equipment displaced by new equipment fielding and redistributed to another unit.

### **Collaborative Users**

Designated component and/or ACOM/ASCC representatives provided permissions in EQ4 Plans and DST. The plan owner is responsible for distributing allocated quantities to the UIC level.

### **Decision Support Tool (DST)**

The Lead Materiel Integrator unclassified, web-based, collaborative tool located on the Logistic Information Warehouse (LIW). The Lead Materiel Integrator uses DST to lead Army materiel stakeholders through the planning and execution of Army materiel

## Annex C – Terms of Reference to Army Equipping Roles, Responsibilities, Procedures, and Authorities

distribution and redistribution. This system provides the authoritative view of Army equipment distribution and redistribution.

### **Delivery**

For for this policy and for the purposes of transparency it is the transfer of control and accountability of equipment to the component and/or the unit. A signed receipt document and/or automated transaction indicating the equipment is transferred and received is the criteria for considering the equipment delivered. Equipment not yet fielded but co-located at a unit or a component location does not constitute delivery.

### **Distribution Plans**

Line Item Number level quantity allocations designated for specific units (below component level) normally at brigade, battalion and/or separate company level by month based on the availability of the equipment for delivery. Distributions must be consistent with component level allocations for HQDA, DCS, G-8 managed equipment unless otherwise approved by the Director, Force Development. Distributed quantities for the near-term planning period are either on contract or on-hand.

### **Dynamic Army Resource Priority List (DARPL)**

A document generated by the HQDA, DCS, G-3/5/7 Force Management Directorate that provides detailed prioritization of specific units over time. It is published in both classified and unclassified versions.

### **Equipment Common Operating Picture (ECOP)**

An automated program providing a “start to finish” Secret Internet Protocol Router Network (SIPRNet) web-based database to request and source specified ONS or ESD equipment for deployed and deploying units.

### **Equipping**

The strategy, policy, procedures, ends, ways and means of providing required equipment to units. In the context of this policy it is the organization, policy and procedures of allocating and distributing equipment (new, recapitalized, reset, and redistribution of existing assets) in a collaborative closed loop process using the Decision Support Tool developed by LMI.

### **Externally Sourced**

Materiel transfers between ACOMs, ASCCs, and/or DRUs.

### **HQDA, DCS, G-8 Managed Equipment**

A LIN centrally managed during procurement and recapitalization. Such a LIN can remain centrally managed if determined to be of high interest or high dollar value.

### **Integrated Requirement Priority List (IRPL)**

A classified document generated by HQDA, DCS, G-3/5/7 Force Generation Division that provides Army prioritization of all force requirements (ground component

## Annex C – Terms of Reference to Army Equipping Roles, Responsibilities, Procedures, and Authorities

commander and institutional) within each ARPL category. The IRPL is then used to produce the DARPL.

### **Internally Sourced**

Materiel transfers that can be sourced completely within the command through redistribution of its assets within authorized levels.

### **Joint Supportability Assessment (JSA)**

A coordination document made between the PM/LCMC and the Garrison Commander on the final fielding/handoff schedule, done prior to shipping packages and end items to a staging site or gaining unit. The JSA addresses all problems or issues prior to the scheduled fielding. Both commands report their readiness to conduct the fielding and they agree that the projected package percent of fill, end item availability, personnel, and facility support is adequate/inadequate to conduct the fielding as scheduled.

### **Left Behind Equipment (LBE)**

The materiel a unit leaves in garrison, state, home station, or unit storage location as it deploys to conduct missions for a Combatant Commander. A unit's LBE remains linked to the parent UIC structure as part of their MTOE authorization, but is available for redistribution. ARNG LBE remains behind for use by the Governor of the deployed unit's State in case of a Natural Disaster or other Defense Support to Civil Authority situation. The equipment is maintained by rear detachment personnel under the supervision of the State Maintenance Manager. USAR non-deployed equipment remains to support individual and collective training events and support Army Reserve DSCA missions; the equipment is managed by unit's peacetime command and control, maintained by the supporting Area Maintenance Support Activity.

### **Materiel Fielding Team (MFT)**

Team specifically designed to accomplish the fielding.

### **Memorandum Of Notification (MON)**

A letter from PM/LCMC to Gaining Command (GC) initiating the fielding process.

### **New procurement**

All EE PEG initially procured equipment not previously in Army inventory.

### **Operational Needs Statement (ONS)**

A request for a timely solution to an operational capability gap required for urgent missions that standard Army processes or procedures cannot provide.

### **Plan Owner**

A system dependent designation. The AE2S EQ4 Plan Owner for allocations is the SSO. Program Managers and AMC support the SSOs by providing monthly available quantities. The DST Plan Owner is the ASC designated representative who is responsible for the finalized plan that goes to LOGSA and which is shared with HQDA, DCS, G-8 and others as the approved plan.

## Annex C – Terms of Reference to Army Equipping Roles, Responsibilities, Procedures, and Authorities

### **Proposed Sourcing Decision (PSD)**

A DST-generated sourcing recommendation derived from matching validated, prioritized equipment demands with available Army inventory in depots, non-deployed units and other sources.

### **Published Plans**

Finalized and releasable equipping plans that have been entered into EQ4 which provides the Plan Owner with controls that prevent reports and data sharing of plan data before it is ready. Plan Owners use the “publish” function in EQ4 to indicate that the plan is finalized and releasable for populating AE2S reports, is available for data sharing with other systems, and can be used by Program Managers for executing the plan.

### **Recapitalized Equipment**

This is EE PEG procured upgraded equipment that goes beyond refurbishment and rebuild and inserts additional capability. Some SS PEG funding may be supporting the recapitalization effort when equipment is first inducted to prepare the equipment for a capability insertion. Recapitalized equipment for allocation and distribution management is removed from unit property accountability through a supply transaction.

### **Redistribution**

Line Item Number level quantity distribution of existing inventory already in units but determined to be excess to a unit or required elsewhere to meet higher priority requirements. Redistribution includes displaced equipment due to the allocation and distribution of new equipment to a unit and cascaded equipment.

### **Requirement**

An established need justifying the timely allocation of resources to achieve a capability to accomplish approved military objectives, missions or tasks (TRADOC Regulation (TR) 71-20, Concept Development, Capabilities Determination, and Capabilities Integration).

### **RESET**

Army imperative that will systematically restore deployed units to an appropriate level of equipment, Soldier, and Family readiness in preparation for future deployments and contingencies. RESET encompasses those tasks required to re-integrate Soldiers and Families, then organize, man, equip, and train a unit.

### **Reset**

A set of actions to restore equipment to desired level of combat capability commensurate with a unit's future mission. Reset reverses the effects of combat stress on equipment.

### **Synchronize**

To bring together all stakeholder processes and relevant authoritative inputs in a distributed, collaborative manner to produce an integrated materiel sourcing solution to meet all Army materiel requirements.

## Annex C – Terms of Reference to Army Equipping Roles, Responsibilities, Procedures, and Authorities

### **Total Package Fielding**

The Army's standard materiel fielding process designed to provide Army materiel systems to the using units as a coordinated package of end items, support items and technical documentation.

### **Unit Distribution Plan**

A spreadsheet format containing information specific to a UIC, sortable by LIN, to include on-hand authorized MTOE/TDA equipment and a sourcing solution as a function over time.

### **Unit Equipping and Reuse Working Group**

The ACOM/ASCC led, ICW AMC/ASC, activities that are held at the unit's location or via Video Teleconference (VTC) approximately 60 days after a unit returns from deployment or as requested. This working group brings all necessary organizations together at one time to assist the unit through the re-equipping portion of their RESET process and to assist the unit in refining its Long Range Training Calendar (LRTC). This working group will address the unit's Modified Table of Organization and Equipment (MTOE) with a goal of synchronizing the units LRTC with New Equipment Training /New Equipment Fielding (NET/NEF).